FIRST 1R RATE

DATA SERVICES

The Data Operations Engine Behind
Confident Financial Reporting

Secure. Scalable. Global.

WHO WE ARE

BUILT ON FIRST RATE'S **30+ YEAR FOUNDATION**

FIRST RATE has been a trusted provider of financial data and performance reporting for over three decades, serving the unique needs of the wealth management industry with offices in six countries.

DATA SERVICES acts as the operational extension of your team, helping firms scale efficiently by handling the heavy lifting of data management.

Global Co-Workers

225+

Assets Under Reporting

$4T+

Error-free Automation Rate

99.8%

Clients Served Worldwide

350+

Enterprise-grade Security

SOC2 TYPEII

Your Data Partner

Enterprise Scale. Proven Reliability.

ADDRESSING THE INDUSTRY'S CHALLENGES:

GROWTH IN ALTERNATIVES

Rapid growth in alts creating manual data entry processing delays.

HELD-AWAY ASSET COMPLEXITY

Fragmented data across multiple custodians and non-standard sources.

STATEMENT & TAX DOCUMENT VOLUME

Managing high volumes of statements, K-1s, and 1099s during peak seasons.

OPERATIONAL STRAIN

Internal teams overwhelmed by manual reconciliation and data hygiene tasks.

SYSTEM MIGRATIONS

Complexity in converting legacy data to new reporting platforms.

WHAT WE DO

A True Extension of Your Operations Team

We eliminate the operational burden of investment data across held-away, custodial feeds, alternate investments, high-volume statements and tax document processing

DATA ENGINE LIFECYCLE

- **COLLECTION**
- **EXTRACTION**
- **NORMALIZATION**
- **VALIDATION**
- **DELIVERY**

Strategic Benefits

- Scale Efficiently
- Reduce Manual Work
- Clean Data
- Improve Confidence
- Support Tax Workflows

CORE CAPABILITIES

1

HELD-AWAY ASSET AGGREGATION

Seamlessly aggregate data from disparate sources to create a unified view of client wealth.

2

CUSTODIAL FEED MANAGEMENT

Direct connections to major custodians ensuring accurate, timely data ingestion.

3

ENTERPRISE STATEMENT PROCESSING

Automated extraction from brokerage statements, 1099s, and K-1s at scale.

4

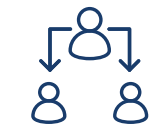
ALTERNATIVE INVESTMENT DATA

Specialized handling of private equity, hedge funds, and other alternative assets.

End-To-End Data Lifecycle

SOURCES

INPUT



CUSTODIANS

FUND MANAGERS

BANKS

STATEMENTS

TAX DOCS

OPERATIONAL ENGINE

PROCESSING

Powered by ArtIE™AI Engine

SECURE INGESTION
Encrypted file transfer & direct feeds

EXTRACTION & NORMALIZATION
Standardizing disparate data sets

VALIDATION & QC
Rules-based logic & human review

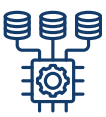
DELIVERY

OUTPUT

ACCOUNTING SOFTWARES

REPORTING SYSTEMS

TAX SOFTWARE



DATA WAREHOUSE

CLIENT PORTALS

CORE USE CASES

Supporting Family Offices, RIAs, & Trust Companies

HELD-AWAY & CUSTODIAL FEEDS

Household reporting
Complete "whole wallet" view of client assets

Accounting population
Comprehensive data aggregation for ledgers

Reconciliation
Automated matching of positions and transactions

ALTNERNATIVES MANAGEMENT

Capital Tracking
Monitor capital calls and distributions

Exposure Reporting
Analyze allocation across private markets

Advisor Dashboards
Clear visualization of illiquid assets

LP/GP Reporting
Specializedreportingfor limited partners

STATEMENT PROCESSING

Brokerage Documents
Statements, 1099s, and K-1automated extraction

Tax Preparation
Streamlined data for double-entry accounting

Audit Readiness
Digital trail and verified source documents

Compliance Reporting
Accurate data for regulatory requirements

FIRST 1R RATE

About First Rate

First Rate is a global partner delivering innovative technology solutions, services, and data insights. With more than 30 years of experience, the firm operates internationally to support the evolving needs of wealth management organizations.

First Rate is a privately owned company built for long-term impact. Its stable ownership structure enables continuity, client-focused innovation, and enduring relationships. Serving many of the world's leading financial institutions—including broker-dealers, asset managers, RIAs/IFAs, trust companies, banks, and family offices—First Rate delivers comprehensive WealthTech solutions across the back, middle, and front office.

The firm's culture is grounded in the values of Love, Give, Serve, and Enjoy and reflects a deep commitment to clients, the industry, and local communities.

REDUCE MANUAL WORK, IMPROVE DATA CONFIDENCE, AND SCALE OPERATIONS FOR THE FUTURE.

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